

# Faculty Financial Interest Disclosure – Form 1

This information is being requested in accordance with federal regulations and the Olin College Conflict of Interest policy. If you check "Yes" to any question below, fill out an Addendum to Financial Interest Disclosure - Form 2. **Send all forms to Susan Mihailidis.**

Annual

Revised/Updated

## BASIC PERSONAL AND RESEARCH INFORMATION

Name: \_\_\_\_\_

Email: \_\_\_\_\_

Position Title: \_\_\_\_\_

## QUESTIONS

### I. OUTSIDE COMPENSATION.

**In the last 12 months did you, your spouse or dependent children receive outside compensation that reasonably appears to be related to your institutional responsibilities?**  Yes  No

Outside compensation includes salary and any payment for services not otherwise identified as salary, e.g., consulting fees, "in kind" compensation, sponsored travel, paid authorship fees, royalties or honoraria or entitlement to the same.

Outside compensation does not include the following: (i) salary or other remuneration paid by Olin College if appointed thereto; and (ii) income from seminars, lectures, teaching engagements, service on advisory committees or review panels that are reimbursed or sponsored by a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education. You may check "No" if the aggregate of all outside compensation is less than \$5,000 total.

### II. EQUITY INTERESTS.

**In the last 12 months did you, your spouse or dependent children hold equity interests in a publicly or non-publicly traded entity that reasonably appears to be related to your institutional responsibilities?**  Yes  No

Equity interests include any stock or stock options or other ownership interests.

Equity interests do not include income from investment vehicles, such as mutual funds and retirement accounts, as long as you, your spouse, or dependent children do not directly control investment decisions. You may check "No" if the aggregate value of the public equity interests is less than \$5,000 total at public prices or reasonable measures of fair market value. You must check "Yes" if any equity interest in a non-publicly traded entity is held.

### III. ROYALTIES/INCOME IN CONNECTION WITH INTELLECTUAL PROPERTY (IP) RIGHTS.

**In the last 12 months did you, your spouse or dependent children receive royalties or other income in connection with IP** (e.g., patents, copyrights) **that reasonably appears to be related to your institutional responsibilities?**  Yes  No

This does not include IP rights assigned to Olin and agreements to share in royalties related to such rights (e.g., royalties received under the relevant Patent Policy). You may check "No" if the income related to these rights and interests, when aggregated with all other interests enumerated above, does not exceed \$5,000 in the 12 months preceding disclosure.

### IV. REIMBURSED OR SPONSORED TRAVEL (For Investigators engaged in PHS (NIH) funded research only).

**In the last 12 months did you engage in reimbursed or sponsored travel that reasonably appears to be related to your institutional responsibilities?**  Yes  No

Reimbursed or sponsored travel is that which is paid on your behalf and not reimbursed to the Investigator so that the exact monetary value may not be readily available. Reimbursed or sponsored travel does not include travel expenses that are reimbursed or sponsored by a Federal, state, or local government agency, an Institution of higher education as defined at 20 U.S.C. 1001(a), an academic teaching hospital, a medical center, or a research institute that is affiliated with an Institution of higher education.

## CERTIFICATION

I certify that the above information is complete and true to the best of my knowledge and that I have read the Olin College Conflict of Interest policy. **I acknowledge that I am responsible for submitting updates to this information within 30 days of any change.**

Signature : \_\_\_\_\_ Date: \_\_\_\_\_